

**Application Form for Account History/Shareholder Certificate
for BT Shareholders**

To

**Banca Transilvania S.A.
Chief Governance Officer**

For individuals

I, the undersigned (name, surname) _____ identified with national identification number _____, phone _____, e-mail address _____.

For companies

The undersigned (name of BT shareholder, legal entity) _____, with registered office in _____, str. _____, nr. _____, bl. _____, ap. _____, tax identification number _____, the representative (name, surname): _____ as₁ _____ (capacity) and identification number _____, phone _____, e-mail address _____.

in my capacity as:

- shareholder**
- heir of a shareholder₂ of Banca Transilvania S.A.,**

hereby request(s) the issue of a/an:

- account history**
- shareholder certificate** as proof of ownership of BT shares

Please find attached the following documents, **in true copies**, certified "*for conformity with the original*", signed by the undersigned applicant:

- identity document of the applicant₃**
- registration certificate of the legal entity₄**
- confirmation statement of the legal entity issued by the Trade Register₅**
- heir certificate/certificate attesting the capacity of heir₂**

¹ for legal entity shareholders, the request may be made by the legal representative

² following the death of the BT shareholder, the shareholder's certificate may be issued to an heir/potential heir, based on the heir certificate or a certificate of heirship (for situations where the succession has not been established, yet)

³ the submission of a copy of the applicant's valid identity card, is compulsory in all cases

⁴ the submission of the copy of the certificate of registration is mandatory when the application is submitted for a BT shareholder who is a legal entity

⁵ the submission of a copy of the certificate issued by the Trade Register or, where applicable, by another competent authority, is recommended in the case of applications made for BT shareholders who are legal entities. The submitted certificate cannot be older than 30 days

I represent that I shall be held liable for the truthfulness and accuracy of all the information in this application form, including the attached supporting documents⁶, and request that the information be communicated to me:

- to the e-mail address indicated in this form
- by post, to the home address on the applicant's identity card
- by post, to the address of the registered office of the legal entity⁷

Information notice regarding the processing of personal data

I have been informed that, in order to be able to process the request, Banca Transilvania, in their capacity of data controller, will process my personal data included in this application form and in its annexes, as well as those necessary to query the shareholders' records and to formulate and transmit the information I request, pursuant to its legal obligation, as well as pursuant to its legitimate interest to carry out activities in relation to BT shareholders, and that they may contact me at the contact details provided herein if necessary to resolve the request. The data will be kept for archiving purposes for the legally established retention period.

Details about the processing of personal data within the framework of the activity of Banca Transilvania S.A., including your rights in relation to the processing of personal data, can be found in BT's Privacy Policy, available on the website www.bancatransilvania.ro or by directly accessing the following link: <https://www.bancatransilvania.ro/politica-prelucrare-si-protectie-date-personale>. BT's Privacy Policy provides specific information on the processing of personal data of BT shareholders in section C(5).

Date _____

Applicant's last name first name _____

Applicant's signature _____

⁶ the filled out, dated and signed application, together with the documents attached to it, may be sent by post to the Bank's head office in: **Cluj-Napoca, Calea Dorobantilor, nr. 30-36**, to the e-mail address actionariat@btrl.ro or may be submitted in any BT unit

⁷ sending the reply to the registered office of the legal entity can be selected only for applications of legal entities