

CURRENT REPORT in accordance with Law 24/2017 on issuers and market operations and FSA Regulation no. 5/2018 on issuers and market operations

Date: 02.09.2021

BANCA TRANSILVANIA S.A. CLUJ-NAPOCA

Headquarters:	Cluj-Napoca, 30-36 Calea Dorobantilor
Telephone/fax number:	0264/407150/407179
Registry of Commerce reg. number:	J12/4155/1993
Individual identification number:	5022670
Subscribed and paid-up capital:	RON 5,737,699,709
Legal Stock Market:	Bucharest Stock Exchange

1. Important events to report:

- a) Change of control of the issuer – not applicable.
- b) Acquisition or substantial transaction of assets – not applicable.
- c) Insolvency/juridical reorganisation/bankruptcy procedure – not applicable.
- d) Transactions of the type listed in art. 82 of Law no. 24/2017 – not applicable.

e) Other events:

Banca Transilvania informs its investors that, on 01.09.2021, it was notified that NN Group NV together with Fondul de Pensii Facultative NN Activ (managed by the optional pension fund administrator NN Asigurari de Viata SA), Fondul de Pensii Facultative NN Optim (managed by the optional pension fund administrator NN Asigurari de Viata SA), Fondul de Pensii Administrat Privat NN (managed by NN Pensii SAFRAP SA) as well as NN Investment Partners BV have fallen below the 10% holding level, owning at the present 9.99% of the capital of BT.

We have annexed the notification mentioned above.

CHIEF EXECUTIVE OFFICER
ÖMER TETİK

CHIEF GOVERNANCE OFFICER
IOANA OLANESCU

Standard form for notification of major holdings

Notification of major holdings (to be transmitted to the relevant issuer and to the competent authority)				
1. Identity of the issuer or issuer of existing supporting shares, to which voting rights are attached ⁱ BANCA TRANSILVANIA SA (ISIN: ROTLVAACNOR1)				
2. Reasons for the notification (please tick the appropriate box or boxes: <input checked="" type="checkbox"/> A purchase or disposal of voting rights <input type="checkbox"/> Acquisition or disposal of financial instruments <input type="checkbox"/> An event changing the distribution of voting rights <input type="checkbox"/> Other (please specify)				
3. Details of the subject of the notification obligation ⁱⁱⁱ :				
Name / Name: NN Group N.V. – 52387534, Schenkade 65, 2595 AS		City and country of head office (if applicable) The Hague, the Netherlands,		
4. Full name / full name of the shareholder / shareholders (if different / different from point 3) ^{iv} <ul style="list-style-type: none"> • Fondul de Pensii Facultative NN ACTIV (administrat de către administratorul de fonduri de pensii facultative NN Asigurări de Viață S.A.); • Fondul de Pensii Facultative NN OPTIM (administrat de către administratorul de fonduri de pensii facultative NN Asigurări de Viață S.A.); • Fondul de Pensii Administrat Privat NN (administrat de către NN Pensii S.A.F.P.A.P. S.A.); • NN Investment Partners B.V. 				
5. Date of lowering, reaching or exceeding the threshold (percentage of vote) ^v : 30/08/2021				
6. Total positions of persons subject to the notification obligation::				
	% of the voting rights attached to the shares (the total in 7.A)	% of voting rights through financial instruments (total of 7.B.1 + 7.B.2)	The total of the two expressed percentage % (7.A + 7.B)	The total number of voting rights of the issuer vi ^{vi}
The resulting situation, on the day it fell below, exceeded or reached the threshold	9,99%		9,99%	5.737.699.709
Position at previous notification date (if applicable)	10,04%		10,04%	
7. Information to be notified of the resulting situation, on the date when it was exceeded, fell below or reached the threshold ^{vii}				
A: Voting rights attached to the shares				
Class / Type of shares ISIN code, as appropriate	Number of voting rights ^{viii}		% of voting rights	
	Direct Art. 69 alin. (1)-(3) din Legea nr. 24/2017	Indirect Art. 70 din Legea nr. 24/2017	Direct Art. 69 alin. (1)-(3) din Legea nr. 24/2017	Indirect Art. 70 din Legea nr. 24/2017
ROTLVAACNOR1		573.086.175		9,99%
SUBTOTAL A	573.086.175		9,99%	
B 1: Financial instruments in accordance with art. 73 par. (1) lit. (a) of Law no. 24/2017				

Type of financial instrument	Expiry date ^{ix} (due date)	Conversion / Exercise Period ^x	The number of voting rights that can be acquired if the instrument is exercised / converted	% of voting rights
SUBTOTAL B.1				

B 2: Financial instruments with a similar economic effect according to art. 73 par. (1) lit. b) Law no. 24/2017

Type of financial instrument	Expiry date ^{ix} (due date)	Conversion / Exercise Period ^x	Physical or cash settlement ^{xi}	The number of voting rights	% of voting rights
SUBTOTAL B.2					

8. Information about the subject of the notification obligation (please tick / tick the appropriate box)
☐ The subject of the notification obligation is not controlled by any natural person or legal entity and does not control any entity (entities) that directly or indirectly has exposure to the issuer of the underlying shares. ^{xii}
☒ The full chain of controlled people by virtue of which they actually hold voting rights and / or financial instruments, starting with the individual or the ultimate legal entity that controls them ^{xiii}.

Name ^{xiv}	% of the voting rights if it is equal to or higher than the threshold to be notified	% of voting rights through financial instruments if it is equal to or higher than the threshold to be notified	The total of the two, if it is equal to or higher than the threshold to be notified
1.NN Group N.V.			
2.NN Insurance Eurasia N.V.			
3.NN Continental Europe Holdings B.V.			
4.NN Asigurari de Viata S.A.			
5a.Fondul de Pensii Facultative NN ACTIV (administrat de către administratorul de fonduri de pensii facultative NN Asigurări de Viață SA)			
5b.Fondul de Pensii Facultative NN OPTIM (administrat de către administratorul de fonduri de pensii facultative NN Asigurări de Viață SA)			
1.NN Group N.V.			
2.NN Insurance Eurasia N.V.			

de pensii facultative NN Asigurări de Viață SA			
1.NN Group N.V.			
2.NN Insurance Eurasia N.V.			
3.NN Continental Europe Holdings B.V.			
4.NN Pensii S.A.F.P.A.P. S.A.			
5.Fondul de Pensii Administrat Privat NN (administrat de către NN Pensii S.A.F.P.A.P. S.A.)	8,66%		8,66%
1.NN Group N.V.			
2.NN Insurance Eurasia N.V.			
6.NN Investment Partners Holdings N.V.			
7.NN Investment Partners International Holdings B.V.			
8aNN Investment Partners B.V.			
8b.NN Investment Partners C.R, A.S			
9. În cazul votului prin reprezentant: [numele/denumirea mandatarului] va înceta să dețină [% și numărul] drepturi de vot începând cu [data].			
10. Informații suplimentare ^{xv} :			
În ANNEX 1, o organigramă adițională vine în sprijinul informațiilor oferite la secțiunea 8.			

Întocmit la HAGA la 01/09/2021.

Shreyak Shah (signing on behalf of Magdalena Thole)

Shreyak Shah

Compliance Officer

Annex 1

