



# CURRENT REPORT in accordance with Law 24/2017 on issuers and market operations and FSA Regulation no. 5/2018 on issuers and market operations

Date: 30.10.2020

#### BANCA TRANSILVANIA S.A. CLUJ-NAPOCA

**Headquarters:** Cluj-Napoca, 8 G. Baritiu Street

**Telephone/fax number:** 0264/407150/407179

**Registry of Commerce registration number:** J12/4155/1993

**Individual identification number:** 5022670

**Subscribed and paid-up capital:** RON 5,737,699,649

**Legal Stock Market:** Bucharest Stock Exchange

### 1. Important events to report:

- a) Change of control of the issuer not applicable.
- b) Acquisition or substantial transaction of assets not applicable.
- c) Insolvency/juridical reorganisation/bankruptcy procedure not applicable.
- d) Transactions of the type listed in art. 82 of Law no. 24/2017 not applicable.

#### e) Other events:

Banca Transilvania informs its investors that, on 28.10.2020, it was notified that NN Group NV together with Fondul de Pensii Facultative NN Activ (managed by the optional pension fund administrator NN Asigurari de Viata SA), Fondul de Pensii Facultative NN Optim (managed by the optional pension fund administrator NN Asigurari de Viata SA), Fondul de Pensii Administrat Privat NN (managed by NN Pensii SAFPAP SA) as well as NN Investment Partners BV have surpassed the 10% holding level, owning at the present 10.04% of the capital of BT.

We have annexed the notification mentioned above.

CHIEF EXECUTIVE OFFICER

CHIEF GOVERNANCE OFFICER
IOANA OLANESCU

ÖMER TETIK

## Standard form for notification of major holdings

		tted to the relevant issu			
1. Identity of the issue BANCA TRANSILVANI		g supporting shares, to CNOR1)	which voting rights a	re attached '	
	fication (please tick th osal of voting rights osal of financial instru the distribution of voti	e appropriate box or box ments	xes:		
3. Details of the subje	ct of the notification	obligation <sup>iii</sup> :			
Name / Name: NN Gr 52387534, Schenkkad					
<ul> <li>Fondul de Pe facultative Ni</li> <li>Fondul de Pe facultative Ni</li> <li>Fondul de Pe</li> </ul>	nsii Facultative NN A N Asigurări de Viață nsii Facultative NN C N Asigurări de Viață nsii Administrat Priv	PTIM (administrat de	către administratoru către administratoru	I de fonduri de pensii Il de fonduri de pensii	
	nt Partners B.V.	the threshold (nercent	tage of vote)v: 26/10	/2020	
		the threshold (percent notification obligation		72020	
	% of the voting rights attached to the shares (the total in 7.A)	% of voting rights through financial instruments (total of 7.B.1 + 7.B.2)	The total of the two expressed percentage % (7.A + 7.B)	The total number of voting rights of the issuer vi <sup>vi</sup>	
The resulting situation, on the day it fell below, exceeded or reached the threshold	10,04%		10,04%	5.737.699.649	
Position at previous notification date (if applicable)	9,96%		9,96%		
	notified of the resulti	ng situation, on the date	when it was exceeded	l, fell below or reached	
A: Voting rights attac					
	Number of voting rights <sup>viii</sup>		% of voting rights		
Class / Type of shares ISIN code, as appropriate	Direct Art. 69 alin. (1)-(3) din Legea nr.	Indirect Art. 70 din Legea nr.	Direct Art. 69 alin. (1)-(3) din Legea nr.	Indirect Art. 70 din Legea nr.	
ROTLVAACNOR1		576.067.063		10,04%	
SUBTOTAL A	576.067.063 10,04%				

Type of financial Expiry dateix (du instrument date)		(due	Conversion / Exercise Period *		The number of voting rights that can be acquired if the instrument is exercised / converted		at l if	% of voting rights	
			SUBTOTAL B	3.1					
B 2: Financial instruments with a similar economic effect according to art. 73 par. (1) lit. b) Law no. 24/							aw no. 24/2017		
Type of financial Expiry dat		4				40 com			%
instrument (due	date)	I	Period <sup>x</sup>	settle	ement <sup>xi</sup> votin		g rights of voting rights		
				SUBTOT	ALB.2				
8. Information about the									
[_] The subject of the no									
does not control any entitions shares. xii	ty (entiti	es) that (	directly or inc	arectly nas	s exposur	e to the	issue	er or	the underlying
[ X ] The full chain of cont	rolled ne	onle by	virtue of whi	ch they act	tually hol	d voting	right	ts an	d / or financial
instruments, starting with									,
					%				
1		% of the voting rights if it		of voting rights through		rough	The total of the two, if it		
				financial instruments if it			is equal to or higher than		
		the threshold to be		is equal to or higher than		the threshold to be			
		notified		the threshold to be notified		notified			
4 NIN C NIV					nouneu				
1.NN Group N.V.									
2.NN Insurance Eurasia N.V.									
3.NN Continental Europe Holdings B.V.									
4.NN Asigurari de Viata S	Δ								
5a.Fondul de Pensii	·^.								
Facultative NN ACTIV									
(administrat de către									
administratorul de fondu	ri								
de pensii facultative NN									
Asigurări de Viață SA)									
5b.Fondul de Pensii				P-10-10-10-10-10-10-10-10-10-10-10-10-10-					
Facultative NN OPTIM									
(administrat de către administratorul de fonduri									
de pensii facultative NN	111								
Asigurări de Viață SA						- 1000			
						<u>'</u>			4-0-0
1.NN Group N.V.			A 8 A 10 A						
2.NN Insurance Eurasia N	I.V.								

2.NN Insurance Eurasia N.V.	A CONTRACTOR OF THE CONTRACTOR	
3.NN Continental Europe Holdings B.V.		
4.NN Pensii S.A.F.P.A.P. S.A.		CO 1988 - PRINCIPAL AND
5.Fondul de Pensii Administrat Privat NN (administrat de către NN Pensii S.A.F.P.A.P. S.A.)	8,80%	8,80%
1.NN Group N.V.		
2.NN Insurance Eurasia N.V.	Apparture Marchine - secretar for the facility of the facility	
6.NN Investment Partners Holdings N.V.		
7.NN Investment Partners International Holdings B.V.		
8a.NN Investment Partners B.V.		
8b.NN Investment Partners C.R, A.S		
<ol><li>In the case of voting by a reprovoting rights from [date]</li></ol>	esentative: [name / trustee's	name] will cease to hold [% and number]
10. Suplimentary information xv. In Annex 1 an additional Organi	sational Chart has been prov	ided to suppport section 8.
	Light of the Land Management of the Section Section 1995 and the Section Secti	

Drafted at HAGA la 28/10/2020.

Jemy Gopal

Compliance Officer

Shreyak Shah

Compliance Officer

